

PUBLIC SERVICE COMMISSION

861 SILVER LAKE BLVD.
CANNON BUILDING, SUITE 100
DOVER, DELAWARE 19904

TELEPHONE: Fax:

(302) 736-7500 (302) 739-4849

September 20, 2019

Brendan Hartman TransparencE Energy Services, LLC 1440 E Fort Ave Baltimore, MD 21230

Re: In The Matter of the TransparencE Energy Services, LLC For A Certificate to Provide Electric Supply Services within the State Of Delaware (Submitted August 22, 2019) - PSC Docket No. 19-0552

Dear Mr. Hartman,

The Delaware Public Service Commission ("Commission") is in receipt of the application (the "Application") submitted by TransparencE Energy Services, LLC ("Company") for certification to broker electric supply services in Delaware. The Application is incomplete as filed and additional information is necessary to complete the minimum filing requirements ("MFRs"), as indicated below:

Section 2.2.1 Legal Name

• Please provide a list of names under which the Applicant, its affiliated interests, or any current or previous officer, director, or manager has previously done business in Delaware.

Section 2.2.2 State of Formation Certificates

• Please provide a business license for the state of Maryland.

Section 2.2.3 Authorization to do Business in Delaware

- Please provide a Delaware business license
 - Please provide the permanent copy and not the temporary copy.

Section 2.2.6 Corporate Structure

• Please provide an organizational chart.

Section 2.2.9 Toll-Free Number

Please provide a toll-free number that customers may call with questions.

Section 2.2.16 Financial Capability

• Please provide certified financial statements including a balance sheet, income statement, and statement of cash flows dated within 12 months of this filing.

Section 2.2.13 Marketing

- Please provide a description of the marketing plans and methods the Company will be employing in Delaware.
- Please provide a detailed description of the oversight, training, and compliance the Company intends to enact in Delaware.
- Please provide copies of the marketing materials intended for use in Delaware.

Section 2.2.19 Retail Experience

- Question 16 was not completed in its entirety. Please provide a description of the nature of business the Company plans to conduct in Delaware.
- Please provide a Commission Order for the state of Pennsylvania.
- Please list the states where certification has been suspended or has been revoked.
- Please provide a list of states in which the Applicant has received authority to sell/broker services but it is not currently providing services.
- List the states or federal jurisdictions in which the Applicant or any of its Affiliated Interest has been found to be in violation of state's laws, rules and regulations.
- Please provide a copy of any settlement, adjudication, or court order with respect to an action filed by a state Attorney General, the Federal Trade Commission, or U.S. Department of Justice concerning the Applicant's participation in retail and federal electricity, natural gas, or telecommunications markets.

Section 2.1.6 Verification of Application

• Please provide a signed, sworn statement of verification for each subsequent filing in this docket.

The Company may request that the Commission provide confidential treatment to some or all of the information contained in a document. When requesting confidential treatment for documents filed with the Commission, please simultaneously file a redacted, public version of the same document and an attestation that the information is not subject to inspection or disclosure to the public.

Staff respectfully requests a response to this notice of deficiency on or before October 18, 2019. Please note that 26 *Del. Admin. C.* § 3001 (the "Supplier Rules") section 2.4 provides that incomplete applications will be closed four (4) months after the filing date. If the application is not completed within the four (4) month time frame the applicant forfeits their application filing fee and must resubmit the application with an additional filing fee. The responses and verifications can be uploaded as a subsequent filing in DelaFile under Docket No 19-0552.

Should you have any questions or if I can be of any assistance you may contact me at (302) 736-7539 or send questions by email to Clishona.Marshall@delaware.gov.

Sincerely,

Shona Marshall
Shona Marshall
Public Utility Analyst

CC: Ms. Donna Nickerson, Commission Secretary
Ms. Malika Davis, Regulatory Policy Administrator